

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Ecole Polytechnique Fédérale de Lausanne (EPFL)Application No./Patent No.: not yet assigned Filed/Issue Date: herewith

Titled:

EPFL

(Name of Assignee)

university

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in
(The extent (by percentage) of its ownership interest is _____ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 017180, Frame 0457, or for which a copy therefore is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Printed or Typed Name

Harriet Strimpe

Date

Title

8/11/10Chief IP Counsel

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEBRUARY 16, 2006

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WENDEROTH, LIND & PONACK, L.L.P.
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RECORDATION DATE: 03/31/2005

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KINDERMANN, MAIK

DOC DATE: 03/29/2005

ASSIGNOR:

JOHNSSON, KAI

DOC DATE: 03/23/2005

ASSIGNOR:

BIERI, CHRISTOPH

DOC DATE: 03/29/2005

ASSIGNEE:

ECOLE POLYTECHNIQUE FEDERALE DE
LAUSANNE (EPFL).
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SERIAL NUMBER: 10529651

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ISSUE DATE:

TITLE: SUBSTRATES FOR O6-ALKYLGUANINA-DNA ALKYLTRANSFERASE

017180/0457 PAGE 2

PAULA MCCRAY, EXAMINER
ASSIGNMENT SERVICES BRANCH
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AP 591/40

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ks: Please record the attached original documents

To the Honorable Assistant Secretary and
thereof.

1. Name of conveying party(ies):
Maik KINDERMANN, Kai JOHNSSON and Christoph BIERI

Additional name(s) of conveying party(ies) attached? NO

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other
Execution Date: March 23 & 29, 2005

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Name: ECOLE POLYTECHNIQUE FEDERALE DE LAUSANNE (EPFL)

Street Address: SRI, CM-Ecublens
City: 1015 Lausanne Country: Switzerland
Additional name(s) & address(es) attached? NO

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: March 23 & 29, 2005
A. Patent Application No.(s)
NEW, filed March 31, 2005
B. Patent No.(s)
issued
Additional numbers attached? NO

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: WENDEROTH, LIND & PONACK, L.L.P.
Attn: Michael R. Davis, Esq.
Street Address: 2033 K Street, N.W., Suite 800
City: Washington, State: DC ZIP: 20006-1021

6. Total number of applications and patents involved: 1
7. Total fee (37 CFR 3.41): \$ 40.00
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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael R. Davis, Reg. No. 25,134
Name of Person Signing

Signature

March 31, 2005
Date

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US (PCT) Case KB-P2130

ASSIGNMENT

I/We **Malik KINDERMANN**, Meltlingerstrasse 7, 4053 Basel, Switzerland
Kai JOHNSSON, Rue du Midi 20, 1003 Lausanne, Switzerland
Christoph BIERI, St. Galler-Ring 206, 4054 Basel, Switzerland

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **ECOLE POLYTECHNIQUE FEDERAL DE LAUSANNE (EPFL)**, a Swiss legal entity, of **SRI, CM-Ecublens, 1015 Lausanne, Switzerland**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

SUBSTRATES FOR O⁶-ALKYLGUANINE-DNA ALKYLTRANSFERASE

Invented by me/us and described in the international application

No. PCT/EP2003/010889

filed 1 October 2003

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **ECOLE POLYTECHNIQUE FEDERAL DE LAUSANNE (EPFL)**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **ECOLE POLYTECHNIQUE FEDERAL DE LAUSANNE (EPFL)**


Malik KINDERMANN

29.3.2005
Date


Kai JOHNSSON

23-3-2005
Date


Christoph BIERI

29.3.2005
Date